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Motion-induced blindness does not affect the formation of negative afterimages

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9 Abstract

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Aftereffects induced by invisible stimuli constitute a powerful tool to investigate what type of neural information processing can occur in the absence of visual awareness. This approach has been successfully used to demonstrate that awareness of oriented gratings or translating stimuli is not necessary to obtain a robust orientation-specific or motion aftereffect. We exploit motion-induced blindness (Bonneh, Cooperman, & Sagi, 2001) to investigate the related question of the influence of visual awareness on the formation of negative afterimages. Our results show MIB does not affect the persistence and intensity of afterimages. Thus, there is no significant contribution to the formation of afterimages beyond the sites mediating MIB. © 2004 Elsevier Inc. All rights reserved.

18 Keywords: Motion-induced blindness; Perceptual suppression; Color; Aftereffect; Visual awareness
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20 1. Introduction

In the last two decades, the scientific community's attitude toward consciousness has undergone a profound change. From a topic that was previously considered beyond the boundaries of rigorous, quantitative investigation, it is now often referred to as one of the last frontiers of science

24 (Metzinger, 1995). Thus, neuroscientists set out to study the neural basis for consciousness (for

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review, see e.g., Zeman, 2001). A first step towards this goal is to determine minimal sets of neural
events that are necessary and sufficient to evoke certain conscious experiences (Crick & Koch,
2003; Metzinger, 2000). In our research we focus on the visual system, the most extensively studied mammalian sensory system, to begin our quest for such neuronal correlates of consciousness
(NCC; Koch, 2004).

A common strategy to investigate the neural correlates of visual awareness is to study condi-30 tions when a stimulus is present, but observers fail to perceive it (see, e.g., Blake, 1997; Logothetis, 31 1998; Merikle, Smilek, & Eastwood, 2001; Rajimehr, 2004). In normal human observers, different 32 33 spatio-temporal manipulations can induce a dissociation between the physical and the perceived exposure to a visual stimulus. A stimulus can be rendered completely invisible for a short period 34 35 of time by forward or backward masking (Bachmann, 2000; Breitmeyer, 1984; Breitmeyer & Ög-36 men, 2000; Lamme, Zipser, & Spekreijse, 2002; Macknik & Livingstone, 1998; Rolls, Tovee, & Panzeri, 1999), binocular rivalry (Blake & Fox, 1974; Blake & Logothetis, 2002; Engel, Fries, Kö-37 38 nig, Brecht, & Singer, 1999; Lee & Blake, 2002; Leopold & Logothetis, 1996; Logothetis, Leopold, & Sheinberg, 1996), flash suppression (Wilke, Logothetis, & Leopold, 2003; Wolfe, 1984), or by 39 motion-induced blindness (Bonneh, Cooperman, & Sagi, 2001). Other manipulations prevent the 40 perception of a specific stimulus attribute for a prolonged period of time. For example, observers 41 may fail to perceive the orientation of a grating when its spatial frequency exceeds the resolution 42 of the eye (He & MacLeod, 2001) or when it is surrounded by similar gratings (He, Cavanagh, & 43 Intriligator, 1996; Toet & Levi, 1992). By exploiting such stimulus configurations, experimenters 44 45 aim to compare the neural events underlying normal visual perception, to those when the physical 46 stimulus is identical but is not consciously perceived.

47 Visual aftereffects, transient percepts induced by prior exposure to an adapting stimulus, con-48 stitute a useful, non-invasive tool to study the neuronal basis of perception in humans. The for-49 mation of aftereffects to a particular stimulus attribute is generally thought to reflect adaptation of neurons processing this attribute. For example, after adapting to a grating whose dominant ori-50 entation is slightly tilted toward the left, away from the vertical, a perfectly vertical grating pre-51 52 sented thereafter will appear to be slightly tilted to the right. In addition, the detection threshold for a grating with the same orientation increases significantly. These aftereffects are generally as-53 signed to the adaptation of orientation-selective cells in visual cortex (Blakemore & Campbell, 54 55 1969; Maffei, Fiorentini, & Bisti, 1973; Movshon & Lennie, 1979).

By studying aftereffects induced by perceptually invisible stimuli, we can infer the type of neural 56 57 information processing occurring in the absence of visual awareness. For example, orientation-58 specific adaptation has been studied to gratings that were perceptually invisible because they underwent binocular rivalry (Blake & Fox, 1974), were masked due to crowding (He et al., 1996), 59 were too fine to resolve (He & MacLeod, 2001; Rajimehr, 2004) or were suppressed by motion-60 61 induced blindness (Kouhsari, Moradi, Zand-Vakili, & Esteky, 2004). These studies consistently 62 demonstrate that orientation-selective adaptation occurs despite the lack of conscious visual 63 awareness of the adapting stimulus. Thus, activation of cells mediating this type of adaptation is not sufficient to generate a conscious percept. Collectively, these findings imply that orienta-64 65 tion-selective cells in V1 are not part of the NCC (Crick & Koch, 1995; Koch, 2004).

66 The purpose of this study was to examine how visual awareness affects the formation of 67 negative afterimages (AIs). Negative AIs are visual traces whose color and contrast polarity are

68 reversed relative to that of the adaptor. For example, prolonged exposure to a dark green adaptor

3

69 results in the subsequent perception of a light, reddish negative AI. We measured negative AIs 70 induced by stimuli that were temporarily invisible due to motion-induced blindness (MIB). In MIB, salient stimuli are intermittently suppressed when surrounded by a moving stimulus (Bon-71 72 neh et al., 2001). There is evidence that perceptual suppression caused by MIB occurs in the cortex 73 (Bonneh et al., 2001; Hsu, Yeh, & Kramer, 2004; Kouhsari et al., 2004; Rajimehr, 2004; see dis-74 cussion). While the origin of AIs is often assigned solely to retinal adaptation (Craik, 1940; Lack, 75 1978; Wilson, 1997), recent studies suggest an additional cortical contribution to the formation of 76 AIs (Hadjikhani, Liu, Dale, Cavanagh, & Tootell, 1998; Shimojo, Kamitani, & Nishida, 2001; Su-77 zuki & Grabowecky, 2003). Thus, if MIB modulated AI strength, this would be clear evidence for 78 an extra-retinal contribution to AI formation. 79 In our experiments, we measured AIs induced by two bright yellow squares, the adaptors. Un-80 der MIB conditions, these yellow squares were temporarily suppressed from visual awareness by a cloud of moving blue random dots evoking the percept of a rotating sphere. In 50% of the trials, 81 82 the moving dots were present and induced MIB (MIB trials). In the remaining 50% of the trials (playback, or PB, trials), the blue dots were absent and the adaptors where physically removed in 83

84 a way that precisely mimicked the subject's percept recorded in the preceding MIB trial.

For PB trials, we compare AI persistence and intensity induced by an adaptor that has just been physically removed to one that has been present at all times. It is well established that the longer an adaptor is presented, the more persistent and the more intense the AI it induces (see, e.g., Kelly & Martinez-Uriegaz, 1993). The PB data we present clearly reflect this dependence of the strength of the perceived AI on the *physical* exposure time to the adaptor. Therefore, our method is sensitive enough to detect an effect in our stimulation conditions.

For MIB trials, we compare AI persistence and intensity induced by an adaptor that has just been temporarily suppressed by MIB to the one induced by an adaptor that had been visible at all times. The data we present demonstrates that MIB does not disrupt AI persistence and intensity. Thus, there is no significant contribution to the formation of negative afterimages beyond the site(s) mediating MIB.

96 2. Methods

97 2.1. Subjects

98 Thirty-two volunteers participated in this study. As described below, the analysis is based on 99 data of 16 subjects (aged from 25 to 48; mean 29.6 years, 13 male/3 female) with normal or cor-100 rected to normal vision.

101 All experiments were undertaken with the understanding and written consent of each subject. 102 Experiments conform to the institutional and national guidelines for experiments with human 103 subjects and with the Declaration of Helsinki.

104 2.2. Apparatus

Stimuli were generated on a Macintosh G4/800 computer (Apple, Cupertino, CA) using MatLab
 (Mathworks, Natick, MA) including the Psychophysics Toolbox extensions (Brainard, 1997; Pelli,

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107 1997). The stimuli were presented at 1024 × 768 pixel resolution and 120 Hz refresh rate on a 19 in.
108 computer screen (Hitachi CM 772, Tokyo, Japan). The CIE coordinates of the screen were: red:
109 0.625, 0.34; green: 0.285, 0.605; blue: 0.150, 0.065. The screen was located at 57 cm viewing distance.
110 To minimize head movements, subjects placed their forehead and chin against mechanical restraints.

111 2.3. Stimulus

112 The two adaptors were static yellow squares with a width of 0.35° visual angle and a luminance 113 of 61 cd/m^2 presented on a black background (0.132 cd/m^2) with a white central fixation cross 114 (width 0.4° , luminance 70 cd/m^2). The two adaptors were centered at 1.8° on the left and the right 115 side of the fixation cross.

- 116 In the MIB stimulus (see Fig. 1), adaptors were superimposed on 250 blue moving dots (diam-
- 117 eter: 0.08° visual angle, luminance: 6.4 cd/m²). The dot's 2D-position resembled a random distri-
- 118 bution on the surface of a 3D-sphere (10.5° in diameter) and their displacement from frame to
- 119 frame resulted in the impression of a rotation around a diagonal axis (1/3 rotations/s). No dots



Fig. 1. The MIB inducing stimulus. Two static squares, the adaptors, are presented superimposed on a background of small moving dots that give the impression of a rotating sphere. (The stimulus used in our experiments was comprised of yellow adaptors and blue moving dots, for a demo see www.ini.unizh.ch/~connie/demos.html.)

120 were displayed in the immediate vicinity of the adapting stimuli, giving rise to squared "protection 121 zones." These protection zones extended 0.5° around the yellow squares to prevent any local in-122 teraction between the adaptors and the small blue dots.

Although the adaptors were physically present at all times during the adaptation phase of a MIB trial, they were occasionally suppressed from conscious awareness (see Fig. 2). The adapting phase was terminated when one and only one of the adaptors was suppressed for more than 0.4s. In other words, after at least 0.4s of suppression of one adaptor there were two termination criteria: the suppressed adaptor reappeared or the other adaptor disappeared. Therefore, the duration of the MIB stimulus presentation varied from trial to trial, depending on the subject's perception.

In the PB condition, the yellow adaptors were presented alone. They were physically removed from the screen, mimicking exactly the suppression periods recorded in the preceding MIB trial. An adapting phase was thus terminated after one and only one of the adaptors had been presented alone for more than 0.4s. The duration of the adaptation phase was thereby equal to that of the perceptually matched MIB trial, but the physical exposure to the adaptors was reduced.

The AIs induced by the two adaptors were observed on a grey square (size 11°, luminance 22 cd/ m²). The properties of the background AIs are viewed upon are known to affect AI appearance (Anstis, Rogers, & Henry, 1978). Pilot studies indicated that subjects felt most comfortable describing AIs on a background with this luminance. With our stimulation parameters, subjects experienced negative AIs, i.e., bluish AIs appearing as dark squares on the grey background. The



Fig. 2. Trial design. Top row: A trial consists of two phases: The adaptation phase and the phase to measure the AIs, in which the subject is asked to report on his/her perception of the left and right yellow adaptors or AIs, respectively. At the end of the trial, the subject is asked in a 2-AFC manner if the left or the right AI was more intense. Motion-inducedblindness (MIB) trials (second row) and playback (PB) trials (third row) alternated during the experiment. In a MIB trial the physical exposure to the left and right adaptor is equal and continuous. However, due to MIB, the duration for which the two adaptors are perceived will be different. In a PB trial the physical exposure to the left and right adaptor mirrors their perception in the preceding MIB trial. Since there are no moving blue dots in the PB condition, no perceptual suppression occurs. Thus, the perceived exposure to the left and right adaptor will be the same as in the MIB trial, but the physical exposure will be different. Bottom row: The parameters used for the data analysis. The relative difference in perceived adaptation time triggering the AI measuring period (Δ Adapt) is compared to the relative difference in the duration of AI perception (Δ AI) and the intensity rating (L/R).

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139 duration of the AI measurement was dependent on the previous adaptation phase, being twice as 140 long as the adaptation phase but no shorter than 10s and no longer than 60s. The central fixation 141 cross turned black for 1s during the AI measurement period. As explained below, this marked the 142 period during which the subject was asked to make its AI intensity judgment. All stimuli were 143 viewed binocularly in a darkened room.

144 2.4. Task

During the adaptation phase of MIB and PB trials, the subject's task was to report on his/her percept of the two adaptors by means of button presses. Subjects were told to use the left and/or right arrow key to independently monitor the disappearance of the left and/or right adaptor. The key had to be held down while the corresponding adaptor was not visible.

Similarly, during the AI measurement, the subject had to indicate when the left and/or right AI was not perceived. After termination of each AI measurement, the subject was asked to indicate with a single button press "Which of the two afterimages was more intense?" It has been observed that the intensity of AIs increases over the first couple of seconds after the removal of an adaptor, and then begins to wax and wane. Pilot studies had revealed that subjects felt more comfortable making a relative intensity judgment based on a brief period in time rather than having to judge the AIs average intensity over a long period. Thus, subjects were asked to base their judgment on their perception between the third and fourth second in the AI measurement period (indicated by a short beep and a change in fixation cross brightness). This interval was chosen because AIs had generally already reached their maximum intensity but had not yet vanished, even for short adaptation times. Thus, AI strength was quantified in terms of duration and relative intensity.

160 2.5. Experimental design

161 An experimental session lasted approximately 40min and consisted of the following stages:

- 162 Instructions and training (approx. 5min).
- 163 MIB-baseline recording (2.5 min).
- 164 Alternating MIB and PB trials $(2 \times 15 \text{ min})$.
- 165

After adjusting head and chin rest, subjects were given written instructions on the screen. In the beginning of the experiment, each subject completed several training trials, during which we made sure that the instructions were understood correctly. At least two simulated MIB (in which one of the adaptors was also physically removed after a couple of seconds) and PB trials had to be completed. After that, the subject could continue training until feeling comfortable with the procedure.

172 Next, the MIB stimulus was presented continuously for 2.5 min to measure the range of sup-173 pression intervals occurring for each subject. This adaptation to the stimulus was necessary be-174 cause nave subjects tend to experience MIB only after some exposure to the stimulus.

The rest of the experiment consisted of alternating MIB and perceptually matched PB trials, as explained above (see Fig. 2). Subjects could rest shortly before initiating a new trial and were giv-

177 en the chance to take a longer break after 15min.

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178 Two assumptions underlie our experimental design: First, we assume that the stronger the dif-179 ference in adaptation, the stronger the difference on AI formation. Second, we assume that the most recent event has the strongest effect on AI strength. Thus, to maximize the chances to detect 180 a perceptual effect on the formation of AIs, they were measured immediately after a prolonged 181 182 perceptual suppression of one and only one adaptor. As discussed in Section 3, there is a tradeoff 183 between maximizing the perceptual adaptation difference triggering an AI measurement and the 184 amount of data that can be recorded during an experiment. We chose suppression intervals longer 185 than 0.4s to trigger an AI measurement. We present our data using ΔA dapt, the length of the longest and most recent suppression period, as index for the difference in adaptation to the left and 186 right adaptor (see Fig. 2). However, data analysis was also performed using the total difference in 187 188 perceptual suppression of the left and the right adaptor. The results obtained by these two types of 189 analyses are highly similar.

- 190 2.6. Data analysis
- 191 2.6.1. Recording of data

192 The computation of Δ Adapt and Δ AI was based on the timestamps marking changes in the sta-193 tus of the left and right arrow key, which were polled with an average sampling rate of 120 Hz and

194 a maximal error of 11 ms.

195 2.6.2. Exclusion of single trials and data sets

Both MIB and AIs are known to show high within- and between-subject variability (see, e.g., Carter & Pattigrew, 2003; Lack, 1978; Loomis, 1972; Shimojo et al., 2001). To study the effect of MIB on AI formation, we had to use subjects and trials in which both phenomena were present. To maximize the chances of detecting an effect of perceptual suppression and physical removal of the adaptor on AI formation, we applied strict exclusion criteria for single trials and complete data sets. The precise choice of exclusion criteria, however, does not affect the findings we report.

The experiment was terminated after the initial training session if subjects reported to perceive no or only very faint and brief AIs, or after the 2.5 min baseline recording if subjects did not experience MIB at all. The data of the remaining subjects was processed offline.

205 Single trials were excluded from analysis if the recorded key presses revealed that:

- 206 no or only very short AIs were perceived (i.e., AI persistence < 0.5s);
- an AI was still perceived at or close to the termination of the AI measurement phase (i.e., AI persistence > measuring phase 0.5s);
- it was likely that a motor error occurred when reporting on AIs (i.e., persistence of one AI > persistence of other AI + 7s);
- 211 the induced AI was expected to have saturated (i.e., adaptation phase > 45 s).
- 212
- A subject was completely excluded from the study if he/she:
- showed little suppression, i.e., less than 10 valid MIB trials;
- 215 had a strong suppression bias, i.e., if the left or right adaptor triggered the AI measurement in
 - 216 less than three MIB trials.

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Based on these criteria, the data of 16 of the 32 subjects were excluded from the analysis: 2 subjects did not perceive strong enough AIs, 4 did not experience MIB, 6 showed too little suppression, and another 4 had a strong suppression bias.

220 2.6.3. Analysis across vs. within subjects

The analysis across subjects is based on the data of the 16 subjects that satisfied all criteria. A within subject analysis was performed with one subject (mk), who completed five experimental sessions on different days. This subject was very sensitive to MIB (i.e., the adaptors disappeared soon after presentation of the MIB stimulus and for quite long intervals) and was naïve to the purpose of the experiment. For the data analysis across all 16 subjects, we used only the data of the first experimental session of mk.

227 2.6.4. Type of data analysis—within trial comparison

The analysis of the data is based on an initial within trial comparison of the AIs induced by the left and the right adaptor. We chose this type of analysis because of the high variability observed when measuring AIs. This variability can partly be explained by certain factors that change over the course of the experiment. For example, the degree of fixation, blinking, fatigue or attention are known to affect AI formation. These factors will affect the two AIs measured within the same trial equally, but their effect on AIs recorded in different trials may differ greatly. Thus, the first step in our data analysis was to compare the left and right AIs measured within a trial. Combined data from different trials were analyzed later.

236 3. Results

Using single subject data, we first show results to characterize the distribution of MIB suppression intervals and the dependence of the AI duration on the physical exposure time to an adaptor. Then, we present data describing the effect of perceptual suppression on relative AI persistence. Finally, we present data on relative AI intensity.

241 3.1. MIB suppression periods

The subjective quality of MIB can be evaluated by studying the periods of perceptual suppression recorded during the MIB baseline measurement. This is illustrated by mk's data recorded in five experimental sessions (Fig. 3). The left adaptor was suppressed for 18% of the stimulation time (Fig. 3: top, left), the right for 13% (Fig. 3: top, right). Thus, mk had a small bias for suppression of the left adaptor. The histograms show that this is due to the left adaptor being suppressed often for very short periods. Longer suppression intervals occur equally often for the left and right adaptor. This is reflected by higher mean and median values for the suppression of the right than of the left adaptor.

As discussed above, the periods where one and only one of the adaptors is perceptually suppressed are relevant. Only these periods could possibly induce a difference in AI formation. One and only one of the adaptors was suppressed for only 20% of the presentation time (Fig.

253 3: bottom, right). The difference in the total suppression time and the time that only one of the



Fig. 3. Histograms of suppression intervals for one subject (mk). Histograms are based on MIB baseline recordings of five experimental sessions (total presentation time = 750s, bin width: 0.2s). Upper left: suppression intervals of left adaptor. Upper right: suppression intervals of right adaptor. Lower left: independently summed suppression intervals of left and right adaptor. Lower right: suppression intervals of one and only one adaptor (*exclusive or*). Light grey bars mark those intervals that would have triggered an AI measurement in MIB trials (>0.4s). The number of suppression instances and the summed, mean, median, and maximal suppression interval (in seconds) are given in each panel.

adaptors was suppressed is due to those instances when both adaptors where suppressed. The distribution of suppression intervals for one and only one adaptor is significantly shifted towards shorter intervals when compared to that of suppression intervals recorded independently for the left and the right adaptor (Fig. 3: bottom, left). The shape of the distribution illustrates the trade-off mentioned above: although it would be best to measure AIs after long differences in suppression of the left vs. right adaptor, such events occur only very rarely. To collect a useful amount of data per experiment, a relatively low threshold for suppression difference (i.e., ΔA dapt > 0.4s, indicated by light grey bars) had to be used, despite the fact that the data are expected to be more noisy for shorter differences.

263 3.2. Effect of physical adaptation on AI persistence

The strength of AIs is known to increase with prolonged physical exposure to an adapting stimulus (see, e.g., Kelly & Martinez-Uriegaz, 1993). We verified this for our stimulation protocol using AI persistence. Fig. 4 shows this on the data of a single subject (mk) for both the PB and the MIB condition. Despite high variability in AI duration, data show that the longer mk was physically exposed to an adaptor, the longer the AI he perceived. Fitting an exponential constrained to pass through the origin to both sets of data results in very similar time constants and asymptotic values for these two conditions (time constant of 3.84 and 3.55s and asymptotic values of 7.52 and 7.53s for PB and MIB condition, respectively). Thus,



Fig. 4. The longer the physical exposure to the adapting stimulus, the stronger the AI persistence (illustrated on data of subject mk). Top panel: Absolute AI persistence as a function of the physical exposure to the adapting stimulus (less or equal to trial duration) in the PB condition. Bottom panel: Absolute AI persistence as a function of the physical exposure to the adapting stimulus (equal to trial duration) in MIB condition. Circles and stars represent AIs induced by the left and right adaptor (n = 276), respectively.

272 despite the absence of blue dots during the PB condition, the AIs measured under the two 273 conditions behave very similarly.

274 3.3. Relative AI persistence

275 3.3.1. Single subject

The effect of a physical (PB) and perceptual (MIB) difference in adaptation on the difference in AI persistence is illustrated in Fig. 5 for subject mk. $\Delta AI(s)$, the difference in AI persistence induced by the left and right adaptor, is plotted as a function of $\Delta Adapt(s)$, the difference in adaptation triggering the AI measurement, for all PB (light grey stars) and MIB (dark grey circles) trials (n = 139). Positive (negative) values denote that the left (right) adaptor or AI was perceived longer. The mean ΔAI plotted over the mean $\Delta Adapt$ is indicated as medium grey star (circle) for the PB (MIB) condition. The mean value of $\Delta Adapt$ indicates that the subject did not have a strong suppression bias. The sum of the AI measurement triggering suppression is approximately the same for the left and right adaptor. However, the mean value of ΔAI indicates that the subject did have a bias in respect to AI perception. The mean ΔAI for both PB and MIB is about -0.4, which means that right AIs were generally perceived longer.

Further analysis of AI persistence is based on computing a linear regression for ΔAI expressed as function of ΔA dapt. The Null hypothesis to be evaluated is that ΔAI is independent of ΔA dapt, i.e., that the slope of a linear regression through the data is not different from zero. The PB data should reveal a positive slope, reflecting the effect of physical adaptation time onto AI formation. Thus, for this control, the Null hypothesis should have to be rejected. If perceptual visibility had a significant effect on AI persistence, the same trends expected for the PB data should be observed for the MIB data.

The best linear fit (least mean squares) through the PB data has a slope of 0.79 with 95% confidence intervals lying between 0.67 and 0.90. Thus, the Null hypothesis can be rejected for the PB

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Fig. 5. Effect of physical (PB, light grey stars) and perceptual difference (MIB, dark grey circles) in adaptation on AI persistence for one subject (mk). $\Delta AI(s)$, the difference in duration of the AI between left and right adaptors is plotted as a function of Adapt(s), the difference in visibility of the left and right adaptors. The solid lines represent the best linear fits through the data sets (n = 139 per condition). The dashed lines mark the 95% confidence intervals. Vertical lines through the x values of -0.4 and 0.4s indicate that an AI measurement was only triggered if the left or the right adaptor was suppressed (MIB) or removed (PB) for more than 0.4s. The medium grey circle and star indicate the mean ΔAI over the mean $\Delta Adapt$ for the MIB and PB condition, respectively. As expected, the slope of the PB regression has a positive value, reflecting the effect of physical exposure time on AI formation. The slope of the MIB regression is not significantly different from 0.

296 condition ($r^2 = 0.56$, F = 172.97, $p < 0.5 \times 10^{-3}$). The best linear fit through the MIB data has a 297 slope of -0.06 with 95% confidence intervals lying between -0.16 and 0.03. The Null hypothesis 298 can thus not be rejected for the MIB condition ($r^2 = 0.012$, F = 1.66, p = 0.2). Thus, our method is 299 sensitive enough to detect the expected effect of the physical adaptation time difference on AI per-300 sistence in the PB condition. However, no such effect is detected for the MIB condition.

301 3.3.2. Across subjects

302 The data obtained for an additional 15 subjects are presented in Fig. 6. Each panel contains a 303 single subject's data recorded in one experimental session. As explained for Fig. 5, ΔAI is plotted 304 as a function of ΔA dapt for PB and MIB trials, where the linear regressions through PB and MIB 305 data sets are represented by solid lines. The individual slopes for the PB data are positive for all subjects. This reflects the known dependency of the physical adaptation time on AI persistence. 306 307 However, the slopes for the MIB data are only positive for about half (7/15) of the subjects. This 308 is expected if MIB does not influence AI persistence: by chance, individual slopes are expected to 309 vary around the value of 0, yielding approximately 50% positive and 50% negative regression slopes. When computing the average of the 16 regression slopes for the PB data, the value ob-310 tained is—as expected—positive (0.691, std. of mean \pm 0.106) and significantly different from 0 311 312 ($p < 1 \times 10^{-5}$). However, the average slope for the MIB data (0.038, std. of mean: ± 0.083) is 313 not significantly different from 0 (p = 0.65). In addition, we found no correlation between the 314 slopes obtained for MIB and PB data.



Fig. 6. Effect of physical difference (PB, light grey stars) and perceptual difference (MIB, dark grey circles) in adaptation on AI persistence for 15 additional subjects. Each panel displays ΔAI as a function of $\Delta Adapt$ for the valid trials obtained from a single subject in a single experimental session (see also Fig. 5). The number of valid trials per condition is given in the title of each panel. All axes have the same scale (numerical values are indicated in the panel in the lower left corner). The best linear fit through the data of the MIB and PB condition is indicated by the dark and light solid grey lines, respectively. As expected, the regression slope of all subjects has a positive value for the PB condition. For the MIB condition, only about half (7/15) of the regression slopes have a positive, while the rest have a negative value. This is to be expected if MIB does not affect AI persistence (see text).

When computing the average slopes for the PB and MIB data across subjects, each subject's 315 316 data and thus each experimental session, is weighted equally, although the amount of data ob-317 tained per subject differs (as indicated in Fig. 6). Because the computation of the slopes for a single subject is based on little data, the 16 underlying values themselves are not very reliable. An alter-318 319 native approach is to first combine all data recorded for all subjects and then compute a linear regression. To do so, the data of each subject must first be transformed, to account for biases 320 321 a subject may have. To eliminate these biases we subtracted the mean of ΔA dapt and ΔAI of each subject from each value of ΔA dapt and ΔAI , respectively. Fig. 7 displays the transformed data 322 323 (n = 305) of all 16 subjects. The linear regression for the PB data (left, light grey stars) and the 324 MIB data (right, dark grey circles) is indicated by solid lines, 95% confidence intervals by dashed 325 lines. The best linear fit (least mean squares) through the PB data has a slope of 0.64 with 95% 326 confidence intervals lying between 0.52 and 0.76. The best linear fit (least mean squares) through 327 the MIB data has a slope of -0.03 with 95% confidence intervals lying between -0.15 and 0.09. 328 The Null hypothesis can be rejected for the PB condition ($r^2 = 0.27$, F = 109.57, $p < 0.5 \times 10^{-3}$), but not the MIB condition ($r^2 = 0.001$, F = 0.29, p = 0.59). 329

330 3.4. AI intensity

331 So far our data analysis focused on AI persistence as a measure for AI strength. The same type 332 of results was obtained when analyzing the AI intensity data. AI intensity was measured using a



Fig. 7. Transformed data of all 16 subjects. For each subject, ΔAI minus mean ΔAI is plotted over $\Delta Adapt$ minus mean $\Delta Adapt$. Left: PB data (light grey stars). Right: MIB data (dark grey circles). The solid lines show the linear regression, the dashed lines mark the 95% confidence intervals. The slope of the PB regression has a positive value, reflecting the effect of physical exposure time on AI formation. The slope of the MIB regression is not significantly different from 0.

333 two alternative forced-choice (2AFC) paradigm, leading to a binary measure for AI intensity (left

334 AI more intense vs. right AI more intense). The analysis of AI intensity is based on studying the

335 distribution of Δ Adapt intervals for which the AI evoked by the left or right adaptor was rated as 336 most intense.

In the top row of Fig. 8 the left (right) panel displays the distribution of ΔA dapt in PB (MIB) 337 338 trials for which subjects reported to have perceived a more intense right AI (dark grey, inverted bars) or left AI (light grey, upright bars). The bins closest to ± 0.4 s are represented strongest, be-339 cause trials with short ΔA dapt occurred more often than those with long ΔA dapt. Subjects did not 340 show a significant suppression bias. They did show a bias for perceiving a more intense right AI in 341 the MIB condition, but not the PB condition. The bottom panels show normalized data from the 342 panel above: for each bin the number of trials when the right AI was perceived to be more intense 343 was subtracted from that when the left AI was perceived to be more intense and then divided by 344 their sum. Thus, a y value of +1 (-1) means that for all trials in this ΔA dapt interval the left 345 346 (right) AI was perceived as more intense, while a value of 0 indicates that the left and right AI were rated most intense equally often. The PB data show clearly the expected trend: the longer 347 one adaptor was presented compared to the other, the more likely it is that it induced the more 348 349 intense AI. Such a trend does not occur for the MIB condition. When the left adaptor was suppressed, subjects were about equally likely to perceive the right or left AI to be more intense. Sur-350 351 prisingly, when the right adaptor was suppressed, subjects were more likely to perceive the right 352 AI to be more intense.

The analysis of AI intensity data recorded for subject mk revealed similar results: a clear effect of the physical difference in adaptation on AI intensity, and no effect of a perceptual difference in adaptation (data not shown). In summary, the results obtained by averaging across 16 individual subjects are in perfect accord with those described in detail for one subject.



Fig. 8. Histograms analyzing the 2AFC intensity rating over Δ Adapt intervals. The left and the right plots display the results for the PB and MIB condition, respectively. Bins of 0.3 s width range from -1.9 s (left triggering suppression) to +1.9 s (right triggering suppression). Top panels: distributions when the left (light grey, upright bars) and right (dark grey, inverted bars) AI was rated as most intense. The values in the corner of the four quadrants are the sum of their histograms, i.e., indicate how often the left/right AI was rated as more intense when the left/right adaptor was perceived longer. Bottom panels: Normalized histograms [L - R/(L + R)]. As expected, the adaptor presented for the longest time tended to yield the more intense afterimage. There is no such trend when the adaptor was suppressed by MIB.

357 4. Discussion

Aftereffects have been referred to as the "psychologist's microelectrode" (Frisby, 1979) because they permit the noninvasive study of the relationship between perception and its underlying neural processes. Crick and Koch suggested that aftereffects induced by invisible stimuli constitute a useful tool in the search of the neuronal correlates of consciousness, NCC (Crick & Koch, 1995; see also Blake, 1997). Here, we present experiments that study the effect of MIB of the inducing stimuli on the persistence and intensity of negative AIs.

We measured AIs immediately after one of two moderate-intensity, colored adaptors had been temporarily perceptually suppressed due to MIB. Playback (PB) trials, in which the adaptors were physically removed in a way mimicking the perception in MIB trials served as controls. As expected, the intensity and duration of AIs were reduced by the physical removal of the adaptor in the PB condition. However, equivalent perceptual suppression of the adaptor in the MIB condition did not reduce intensity or persistence of AIs.

370 As discussed in Section 2, we assumed that the more recent the physical or perceptual removal 371 of the adaptor, the stronger its impact on the strength of the AI. Accordingly, we analyzed Δ AI as 372 a function of Δ Adapt, the duration of the suppression interval that triggered the AI measurement 373 (see Fig. 2). It could be argued, however, that the total difference in suppression between the left 374 and the right adaptor during the adaptation phase would constitute a more appropriate measure 375 for the difference in adaptation. We performed this analysis and obtained highly similar results for 376 both AI persistence and AI intensity.

377 In summary, MIB does not disrupt the formation of afterimages. To discuss the implications of 378 this finding, we will briefly review what is known about the formation of AIs and the sites involved 379 in MIB.

380 4.1. The formation of AIs

Although AIs have been investigated for centuries (see, e.g., Goethe, 1810/1970; Helmholtz, 381 382 1867/1962; Hering, 1874), the processes underlying their formation is still not completely understood. The term "afterimage" is used to describe a family of phenomena which are likely to have 383 different origins (Gerrits, van Erning, & Eijkman, 1988; Sakitt, 1976). At least two types of AIs 384 385 must be differentiated: positive and negative AIs. A positive AI has a color and contrast polarity 386 similar to that of the adapting stimulus. Positive AIs are induced by brief exposure to high intensity stimuli and are mostly attributed to photochemical bleaching in rods and cones (Brindley, 387 388 1962; Sakitt, 1976). We study negative AIs induced by prolonged exposure to non- or only weakly 389 bleaching adaptors. The origin of negative AIs is generally assigned to neural adaptation (see, e.g., 390 Wilson, 1997). Electrophysiological and psychophysical studies clearly demonstrate a contribu-391 tion of retinal and LGN cells to the formation of negative AIs (Virsu & Laurinen, 1977; Virsu, 392 Lee, & Creutzfeld, 1977). Assigning the formation of AIs purely to such low-level processes is con-393 sistent with the general finding that AIs do not transfer from the adapted eye to the other. How-394 ever, it has been suggested that cortical neurons also participate in and modulate the formation of 395 negative AIs (Chan, Crutch, & Warrington, 2001; Davis, 1973; Hayhoe & Williams, 1984; 396 Loomis, 1972, 1978; Schiller & Dolan, 1994; Weiskrantz, 2002; Weiskrantz, Cowey, & Hodinott-Hill, 2002). For example, Hadjikhani et al. (1998) presented fMRI data indicating that per-397 ception of negative AIs correlates with an increase in the BOLD signal in an extrastriate area 398 399 (which they call V8), much more than it does in earlier cortical areas. Suzuki and Grabowecky 400 (2003) demonstrated that attention during adaptation modulates consecutive AI perception and 401 argue that this effect is most likely caused by attentional modulation of polarity sensitive cells 402 in IT and V4. Shimojo et al. (2001) showed that negative AIs can be induced in the absence of 403 a local adaptor, i.e., without the direct stimulation of retinal cells. The authors studied AIs in-404 duced by perceptually filled-in surfaces. The strength of these AIs correlated with the subjects' 405 ability to perceptually fill-in the illusory contour generated by several inducers in the adaptation 406 phase. It did not correlate—but instead rivaled—with the AIs produced by the inducers them-407 selves. The authors concluded that this type of negative AIs must be caused by the adaptation 408 of a cortical representation of surface. Such findings imply that low-level processes alone are in-409 sufficient to explain the generation of AIs and that these phenomena are more complex than often 410 assumed.

411 4.2. What do we know about MIB?

412 Even in the absence of a moving background, perceptual fading of stimuli eventually occurs 413 under stabilized viewing conditions (Troxler, 1804). MIB, however, occurs suddenly, may be ex-414 perienced after very brief observation times, and is stronger when the contrast of the target is high 415 (Bonneh et al., 2001). Because slowly moving or flickering target stimuli can also be perceptually 416 suppressed by MIB, this phenomenon is unlikely to be caused by retinal, local adaptation

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417 (Bonneh et al., 2001). Furthermore, MIB is not a form of local masking, as suppression still oc-418 curs if a protection zone around the stationary, yellow stimuli prevents spatial interactions be-419 tween the moving elements and the target. Recent studies revealed that MIB has no detectable 420 effect on orientation-specific adaptation (Kouhsari et al., 2004) and that MIB is sensitive to cues 421 that affect grouping (Bonneh et al., 2001; Hsu et al., 2004) or surface completion (Graf, Adams, & 422 Lages, 2002), processes usually assigned to striate and even extrastriate visual areas. Thus, there is 423 evidence that MIB is a cortical phenomenon, and indications that it originates in extrastriate cor-424 tex.

This study demonstrates that MIB does not significantly affect the strength of negative AIs induced by moderate intensity, colored adaptors. Therefore, in the presence of a physical adaptor, there is no significant contribution to the formation of negative AIs beyond the sites mediating MIB. These findings are consistent with an earlier study suggesting that binocular rivalry does not reduce afterimage formation (Lack, 1978) and the common hypothesis that the generation of negative AIs is generated mostly by low-level processes. Our study is restricted in that it only allows us to infer the relative location of sites governing AI formation and MIB. A better understanding of the precise mechanisms underlying MIB will enhance the power of this study. Our results show that AI perception is not modulated by the perceived exposure time. It has been suggested, that afterimages constitute a tool for defining the visual NCC (Kirschfeld, 1999). Our findings imply that the neurons mediating the formation of AIs are not part of the visual NCC.

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